# **Strive Complaint Management Process**

#### Our commitment

We are committed to complaint handling. We will:

- implement and maintain a complaint management system
- make sure people can easily make a complaint
- deal with all complaints fairly and quickly
- have information available on how to:
- submit a complaint
- submit a complaint to the NDIS Quality and Safeguards Commissioner (the Commissioner)
- keep records on all complaints received.

### Who can make a complaint?

Anyone can make a complaint including:

- a participant
- a participant's family or guardian
- a participant's financial manager
- an advocate
- an employee
- a community visitor
- a professional
- a member of the public.

#### Complaints can be made:

- in person
- by email
- in writing
- by phone
- on the web.

### Complaints help us:

- identify problems
- improve services
- provide better outcomes to participants.

Complaints can be made about any part of the quality or delivery of our services such as if there is dissatisfaction:

- with the way services are provided
- · with decisions we have made
- about the conduct of our employees
- about personal information not kept private.

Complaints can be made anonymously. Complaints can be made to us or directly to the Commissioner.

# How to complain to the Commission about a provider

The NDIS Quality and Safeguards Commission has a page called How to make a complaint about a provider with information on how to submit a complaint to the Commission. Ways to make a complaint to the Commission about a provider include:

- o by phone: 1800 035 544 (interpreters available) or TTY 133 677
- via the National Relay Service—ask for 1800 035 544
- o or by completing the online Complaint Contact Form.



The Commission has fact sheet with information on how complaints are handled.

# **Complaint monitoring**

As part of complaint management responsibilities:

- all complaints should be monitored using a complaint register
- the complaint register should include up-to-date progress of each complaint and whether it is currently open or closed (resolved)
- if there is any doubt about the end resolution of a complaint, seek feedback from the person who made the complaint
- regular reports from the complaint register should be provided to key management personnel for review.

## Complaint records and review

Accurate information of complaints received including decisions made, actions taken and eventual outcomes must be recorded and kept for 7 years from the date of the complaint which allows us to:

- enable reviews of any complaints received
- assist in identifying any systemic issues raised
- allow a response to the Commissioner, if required
- be stored securely and accessible only by the people handling complaints.

#### **Complaint referrals**

Complaints to the Commissioner may be referred to other agencies or bodies if needed including:

- non-compliance with the NDIS code of conduct
- inappropriate or unauthorised use of restrictive practice
- employee screening issues e.g. if an employee of the provider was found to have a criminal history (for more information, refer to the worker screening policy)